

Attorney Docket No. 514485-3810

New Patent Application filed **March 20, 2000**, entitled:
**ADDRESSABLE MODULAR RECOGNITION SYSTEM,
PRODUCTION MODE AND USE**

corresponding to PCT Application No. PCT/EP98/06001

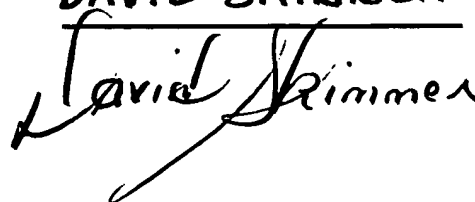
filed September 21, 1998

Express Mail No.: EL375197525US

Date of Deposit: March 20, 2000

I hereby certify that this application and the accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231.

DAVID SKINNER


~~Hoechst Aktiengesellschaft~~

~~H26102PCT-BÖ/JK/sa~~

5

Addressable modular recognition system, its preparation and use

10

The present invention relates to a recognition system comprising

(a) at least one immobilized binding component A having at least one binding site for the recognition species B and

(b) at least one recognition species B which can bind to the binding component A and contains at least one binding site for a substrate S, the binding of the binding component A to the recognition species B taking place in the form of a molecular pairing system.

15

BACKGROUND

Arrays are arrangements of immobilized recognition species which play an important role in the simultaneous determination of analytes, especially in analytical methods and diagnosis. Examples are peptide arrays (Fodor et al., Nature 1993, 364, 555) and nucleic acid arrays (Southern et al. Genomics 1992, 13, 1008; U.S. Patent No. 5,632,957).

20

In experimental analytical systems, arrays permit particularly simple, rapid and reproducible data analysis as a result of the localized generation of events. Examples of this extend from the physical multi-channel detector as far as microtitre plates in laboratory medicine.

25

Arrays also serve for the storage and processing of information and are the fundamental construction element of nanotechnology.

30

Further important application areas can be found in biology, biochemistry, medicine and pharmacology. Thus, EP-A1-0 461 462 describes an immunoassay in which antigens which are positioned and immobilized in a field-like manner are brought into contact with one or more antibodies. WO 96/01836 describes, for example, an array of DNA molecules of differing sequence, which was used for the detection of gene sections and thus led, for example, to the diagnosis of pathogenic bacteria.

35

09509051-060800

125
C1
125
C2

Immobilization by means of supramolecular interactions is also known outside of the array applications. Thus, excipients having anti-antibodies can be immobilized by means of an antigen bonded covalently to the excipient. The analytical system of immunoassays is based largely on enzyme immunoassays (EIAs), in which an enzymatically catalysed reaction indicates the presence of an antigen-antibody or an antigen-antibody-anti-antibody complex. One of the units involved in the complex is in this case either immobilized on a carrier or itself a carrier, e.g. in the form of tissue constituents.

Signal amplification processes of this type, however, have disadvantages, in particular with respect to the reliability of the qualitative information and quantification. A particular disadvantage of miniaturized arrays is the outlay and the costs in preparation.

The object of the present invention was therefore to find a recognition system which is simple, reliable, highly selective and moreover inexpensive.

The present invention therefore relates to a recognition system comprising

- (a) at least one immobilized binding component A having at least one binding site for the recognition species B and
- (b) at least one recognition species B which can bind to the binding component A and contains at least one binding site for a substrate S, the binding of the binding component A to the recognition species B taking place in the form of a molecular pairing system.

Such pairing systems are supramolecular systems of non-covalent interaction, which are distinguished by selectivity, stability and reversibility, and their properties are preferably influenced thermodynamically, i.e. by temperature, pH and concentration. Such pairing systems can also be used, for example, on account of their selective properties as "molecular adhesive" for the bringing together of different metal clusters to give cluster associates having potentially novel properties [see, for example, R. L. Letsinger, et al., Nature 1996, 382, 607-9; P. G. Schultz et al., Nature 1996, 382, 609-11].

It is therefore particularly advantageous if the pairing system is a complex which is formed by association of the binding component A with the recognition species B via non-covalent interactions. The non-covalent interactions are, in particular, hydrogen bridges, salt bridges, stacking, metal ligands, charge-transfer complexes and hydrophobic interactions.

In a particular embodiment, the molecular pairing system according to the present invention contains a nucleic acid and its analogues, in particular in the form of a pentose, preferably of a pentopyranose or pentofuranose. In general, the pentose is selected from a ribose, arabinose, lyxose or xylose. Pyranosyl-RNA (p-RNA), nucleic acid having one or more aminocyclohexylethanoic acid (CNA) units, peptide nucleic acid (PNA), or a nucleic acid having one or more [2-amino-4-(carboxymethyl)cyclohexyl]nucleobases is particularly preferred. Pyranosyl nucleic acids (p-NAs) and especially p-RNAs are particularly preferred.

p-NAs are in general structural types isomeric to the natural RNA, in which the pentose units are present in the pyranose form and are repetitively linked by phosphodiester groups between the positions C-2' and C-4'. "Nucleobase" is understood here as meaning the canonical nucleobases A, T, U, C, G, but also the pairs isoguanine/isocytosine and 2,6-diaminopurine/xanthine and within the meaning of the present invention also other purines and pyrimidines such as purine, 2,6-diaminopurine, 6-purinethiol, pyridine, pyrimidine, isoguanine, 6-thioguanine, xanthine, hypoxanthine, isocytosine, indole, tryptamine, N-phthaloyltryptamine, caffeine, theobromine, theophylline, benzotriazole or acridine, and preferably ribopyranosyladenosine, ribopyranosylguanosine, ribopyranosylthymidine, ribopyranosylcytosine, ribopyranosyltryptamine or ribopyranosyl-N-phthalotryptamine, ribopyranosyluracil or their [2-amino-4-(carboxymethyl)ribopyranosyl] derivatives.

p-NAs, namely the p-RNAs derived from ribose, were described for the first time by Eschenmoser et al. (see Pitsch, S. et al. *Helv. Chim. Acta* 1993, 76, 2161; Pitsch, S. et al. *Helv. Chim. Acta* 1995, 78, 1621; *Angew. Chem.* 1996, 108, 1619-1623). They form exclusively so-called Watson-Crick-paired, i.e. purine-pyrimidine- and purine-purine-paired, antiparallel, reversibly "melting", quasi-linear and stable duplices. Homochiral p-RNA strands of the opposite sense of chirality likewise pair controllably and are strictly non-helical in the duplex formed. This specificity, which is valuable for the construction of supramolecular units, is connected with the relatively low flexibility of the ribopyranose phosphate backbone and with the strong inclination of the base plane to the strand axis and the tendency resulting from this for intercatenary base stacking in the resulting duplex and can finally be attributed to the participation of a 2',4'-cis-disubstituted ribopyranose ring in the construction of the backbone.

These significantly better pairing properties make p-NAs preferred pairing systems for use in the construction of supramolecular units compared with DNA and RNA. They form a pairing system which is orthogonal to natural nucleic acids, i.e. they do not pair with DNAs and RNAs occurring in the natural form, which is
5 advantageous, in particular, in the diagnostic field.

p-NAs are therefore particularly suitable for use in the field of nanotechnology, for example for the preparation of novel materials, diagnostics and therapeutics and also microelectronic, photonic or optoelectronic components and for the controlled
10 bringing together of molecular species to give supramolecular units, such as, for example, for the (combinatorial) synthesis of protein assemblies [see, for example, A. Lombardi, J. W. Bryson, W. F. DeGrado, Biomoleküls (Pept. Sci.) 1997, 40, 495-504], as p-NAs, and particularly p-RNAs, form pairing systems which are strongly and thermodynamically controllable. A further application therefore
15 results especially in the diagnostic and drug discovery field due to the possibility of providing functional, preferably biological, units such as proteins or DNA/RNA sections, e.g. with a p-RNA code which does not interfere with the natural nucleic acids (see, for example, WO93/20242).

20 According to the present invention, the length of the nucleic acid and its analogues is at least about 4-50, preferably at least about 4-25, in particular at least about 4-15, especially at least about 4-10, nucleotides.

In general, the binding component A is immobilized on a carrier.
25

The term "immobilized" is understood within the meaning of the present invention as meaning the formation of a covalent bond, quasi-covalent bond or supramolecular bond by association of two or more molecular species such as molecules having a linear constitution, in particular peptides, peptoids, proteins,
30 linear oligo- or polysaccharides, nucleic acids and their analogues, or monomers such as heterocycles, in particular nitrogen heterocycles, or molecules having a non-linear constitution such as branched oligo- or polysaccharides or antibodies and their functional moieties. Functional moieties of antibodies are, for example, Fv fragments (Skerra & Plückthun (1988) Science 240, 1038), single-chain Fv
35 fragments (scFv; Bird et al. (1988), Science 242, 423; Huston et al. (1988) Proc. Natl. Acad. Sci. U.S.A., 85, 5879) or Fab fragments (Better et al. (1988) Science 240, 1041).

10

15

25

30

35

pattern used a different functional array will be spread on the same immobilize array.

5 The great advantages of such a modular system are the identical one-off provision of the carrier units for very different applications and the *in situ* generation of non-keepable bioconjugates, for example, from proteins, enzymes or living cells and the pairing radical.

10 A further advantage is the stepwise production of substrate binding event and the measurable binding event at the carrier position, i.e. the substrate can form a first complex with the soluble, addressed component (recognition species B) in a completely unhindered manner and then immobilize on the binding component A in a pairing manner in the space of the carrier position.

15 It is further particularly preferred if the binding component A is immobilized on a carrier electrode of the carrier, since an electronically readable signal is produced, for example, by a signal amplification of the impedance behaviour of carrier electrodes during binding events. Appropriate electrode processes are described in R. P. Andres (1996) Science, 272, 1323-1325 and appropriate impedance
20 measurements are described in M. Stelzle et al. (1993) J. of Physical Chem., 97, 2974-2981.

25 A suitable recognition species B is, for example, a biomolecule which, for example, is selected from a peptide, peptoid, protein, such as receptor or functional moieties thereof such as the extracellular domain of a membrane receptor, antibodies or functional moieties thereof such as Fv fragments, single-chain Fv fragments (scFv) or Fab fragments, or cell constituents such as lipids, glycoproteins, filament constituents, or viruses, viral constituents such as capsids, or viroids, or their derivatives such as acetates and their active moieties, or
30 substance libraries such as ensembles of structurally differing compounds, preferably oligomeric or polymeric peptides, peptoids, saccharides, nucleic acids.

The biomolecule customarily contains a binding region for the binding component A, which is preferably one of the nucleic acids described above or their analogues.
35 In general, the biomolecule is bonded here to a selected nucleic acid or analogue via a linker. For example, a uracil-based linker is suitable, in which the 5-position of the uracil has preferably been modified, for example N-phthaloylaminoethyluracil, but also an indole-based linker, preferably tryptamine derivatives, such as, for example, N-phthaloyltryptamine.

In a particular embodiment, the immobilized binding component A contains various binding sites for various recognition species B, by means of which various recognition species B can bind to the binding component A.

5

In a further embodiment, at least one further recognition species B is immobilized on the binding component A.

10

Therefore a further recognition system according to the invention is characterized in that it comprises

(a) at least one immobilized binding component A having at least $2+n$ different binding sites for at least $2+n$ different recognition species B1, B2 ... Bn and a further recognition species B(n+3) different from the recognition species B1, B2 ... Bn, which is immobilized on the immobilized binding component A, and

15

(b) at least (n+3) different recognition species B1, B2 ... B(n+3), where n is an integer from 0-20, preferably 0-10, in particular 0-5, especially 0 or 1.

20

In a further embodiment, the recognition species B1, B2 ... Bn originates from a substance library.

For the structural analysis of a complex of a substance library, it is particularly advantageous if the structure of the recognition species B(n+3) is known, and/or the different recognition species B recognize the same substrate S.

25

The term "substrate" is understood within the meaning of the present invention as meaning a non-carrier-bonded substance, which is intended to be recognized by the recognition system according to the invention. The substrate S is in general selected from molecules, preferably pharmaceuticals and plant protection active compounds, metabolites, physiological messenger substances, derivatives of lead structures, substances which are produced or produced to an increased extent in the human or animal body in the case of pathological changes, or transition state analogues, or peptides, peptoids, proteins such as receptors or functional moieties thereof such as the extracellular domain of a membrane receptor, antibodies or functional moieties thereof such as Fv fragments, single-chain Fv fragments (scFv) or Fab fragments, or cell constituents such as lipids, glycoproteins, filament constituents, or viruses, viral constituents such as capsids, or viroids, or their derivatives such as acetates, or monomers such as heterocycles, in particular nitrogen heterocycles, or molecules of non-linear constitution such as branched

30

35

5

10

20

25

30

30

35

In general, the complex formed is detected by means of labelling such as radioactive or fluorescent labelling, enzymatic labelling, redox labelling, spin labelling of the recognition species B, or by means of the complex itself, for

5

10

15

20

25

30

35

In the process according to the invention, the complex of recognition species B and substrate S can also be isolated in a further step. For this, for example, the complex

is isolated from recognition species B and substrate S after freezing the binding equilibrium or covalent cross-linking of recognition species B and substrate S.

5 The recognition system according to the invention is consequently particularly highly suitable for finding a substrate S for diagnosis, for the preparation of a catalyst and/or for the preparation of an electronic component, in particular for the finding, for the optimization and/or for the preparation of a pharmaceutical active compound or plant protection active compound.

10 Depending on the addresses synthesized, kits which form the test system by pairing on the existing codon array *in situ* can thus be rapidly assembled for different questions or diagnostic problems. Biomolecules, for example very generally cell or viral constituents, very particularly monoclonal antibodies or their functional moieties, are preferred.

15 The following figures are intended to describe the invention in greater detail, without restricting it.

Brief DESCRIPTION OF THE FIGURES

20 Fig. 1 shows schematically the general principle of a recognition species, which is produced *in situ* around a substrate to be recognized. The complexing unit (peptide) can be known by a carrier matrix. A binding pocket formed under thermodynamic or kinetic control is formed here as a complex with the substrate. The pairing unit A complementary to all B units is immobilized on the carrier.

Fig. 2 shows schematically an arrangement of immobilized recognition structures (arrays) on a solid carrier.

30 Fig. 3 shows schematically the modular production of a supramolecular array. Different immunoarrays are constructed on the same anticodon carrier by addressing with the selective pairing regions.

35 Fig. 4 shows schematically the construction of an array having 4 carrier positions (electrodes) and the measuring principle.

Fig. 5 shows schematically the detection of the pairing of the anticodon-codon molecules by UV spectroscopy and impedance spectroscopy. By

00509051.060300

C

00000000000000000000

10

15

20

25



Example 1

30

35

A, G, C, T are the nucleobases adenine, guanine, cytosine and thymine and Ind is aminoethylindole (indole $\text{CH}_2\text{-CH}_2\text{-NH}_2$) as a linker in the form of a nucleobase.

The fully automatic solid-phase synthesis was carried out with 15 μ mol in each case. A synthesis cycle consists of the following steps:

- (a) detritylation: 5 minutes with 6% DCA (dichloroacetic acid) in CH_2Cl_2 (79 ml).
- (b) washing with CH_2Cl_2 (20 ml), acetonitrile (20 ml) and then flushing with argon:
- (c) coupling: washing of the resin with the activator (0.5 M pyridine.HCl in CH_2Cl_2 (0.2 ml) and then 30 minutes' treatment with activator (0.76 ml) and phosphoramidite of the corresponding nucleobase (0.76 ml: 8 eq; 0.1 M in acetonitrile) in the ratio 1/1;
- (d) capping: 2 minutes' treatment with 50% Cap A (10.5 ml) and 50% Cap B (10.5 ml) from PerSeptive Biosystems, Inc., Texas, USA (Cap A: THF, lutidine, acetic anhydride; Cap B: 1-methylimidazole, THF, pyridine);
- (e) oxidation: 1 minute's treatment with 120 ml of iodine solution (400 mg of iodine in 100 ml of acetonitrile, 46 ml of H_2O and 9.2 ml of sym-collidine);
- (f) washing with acetonitrile (22 ml).

To facilitate the subsequent HPLC purification of the oligonucleotides, the last DMT (dimethoxytrityl) group was not removed. To detect the last coupling with the modified phosphoramidites, after the synthesis with 1% of the resin a trityl cation absorption was carried out in UV (503 nm).

1.2 Work-up of the oligonucleotide:

- The removal of the allyl ether protective groups was carried out with a solution of tetrakis(triphenylphosphine)palladium (272mg), triphenylphosphine (272 mg) and diethylammonium hydrogencarbonate in CH_2Cl_2 (15ml) after 5 hours at RT. The glass carriers were then washed with CH_2Cl_2 (30ml), acetone (30ml) and water (30ml). In order to remove palladium complex residues, the resin was rinsed with an aqueous 0.1 M sodiumdiethyldithiocarbamate hydrate solution. The abovementioned washing operation was carried out once more in the reverse order. The resin was then dried in a high vacuum for 10 minutes. The removal step from the glass carrier with simultaneous debenzoylation was carried out in 24% hydrazine hydrate solution (6ml) at 4°C. After HPLC checking on RP 18 (18-25 hours), the oligonucleotide "Trityl ON" was freed of hydrazine by means of an activated (acetonitrile, 20 ml) Waters Sep-Pak cartridge. The hydrazine was washed with TEAB, 0.1M (30ml). The oligonucleotide was then eluted with acetonitrile/TEAB, 0.1M (10ml). It was then purified by means of HPLC for the removal of fragment sequences and the DMT deprotection (30 ml of 80% strength

5 Example 2

p-RNA sequence: 4' AGGCAIndT 2' M_w = 2266.56 g/mol, prepared according to Example 1.

The progress of the reaction was monitored by means of analytical HPLC. The standard conditions were:

20 Buffer B : 0.1 molar triethylammonium acetate buffer in water:acetonitrile 1:4

Column material: 10 μ M LiChrosphere[®] 100 RP-18 from Merck Darmstadt GmbH, 250 x 4 mm

25 Retention time of the products in this case: 23.1 minutes

35

Mass spectrometry:

Sequence : 4' AGGCAInd(CH₂CH₂NHCOCH₂-I)T 2'
calculated mass : 2434.50 g/mol

found mass MH_2^{2+} : 1217.9 g/mol = 2433

Example 3

5 Conjugation of *p*-RNA to a peptide of the sequence $(\text{His})_6$:

The iodoacetylated *p*-RNA ($M_w = 2434.50$ g/mol) was dissolved in a buffer system (1000 μl per 114 nmol) and then treated with a solution of the peptide in buffer (2 mol eq. of $(\text{His})_6$ peptide).

10 Buffer system : Borax/HCl buffer from Riedel-de Haën, pH 8.0, was mixed in the ratio 1:1 with a 10 millimolar solution of EDTA disodium salt in water and adjusted to pH 6.3 with HCl. A solution which contained 5 mM Na_2EDTA was obtained thereby.

15 The batch was left at room temperature in the dark until reaction was complete. The reaction was monitored by means of HPLC analysis. After reaction was complete, the batch was purified directly by means of RP-HPLC. The fractions were concentrated in the cold and in the dark, combined and concentrated again. The residue was taken up in water and desalted. A Waters Sep-Pak cartridge of
20 RP-18 (from 15 oD 2 g packing) was activated with 2 x 10 ml of acetonitrile and 2 x 10 ml of water, the oligonucleotide was applied and allowed to sink in, and the reaction vessel was washed with 2 x 10 ml of water, rewashed with 3 x 10 ml of water in order to remove the salt, and eluted with water:acetonitrile 1:1. The product fractions were concentrated, combined and concentrated again.
25 The yields were determined by means of UV absorption spectrometry at 260 nm. They reached 70-95% of theory.

HPLC Analysis:

Buffer A : 0.1 molar triethylammonium acetate buffer in water

30 Buffer B : 0.1 molar triethylammonium acetate buffer in water: acetonitrile 1:4

Gradient : starting from 10% B to 50% B in 40 minutes

~~Column material : 10 μM LiChrosphere[®] 100 RP-18 from Merck Darmstadt
GmbH; 250 x 4~~

Retention time of the product: 16.9 minutes

35

Mass spectrometry:

Sequence :

4' AGGCAInd(CH₂CH₂NHCOCH₂-(His)₆T 2'

calculated mass: MH_2^{2+} : 1626.9 g/mol

found mass MH_2^{2+} : 1626.0 g/mol

000000" T5060560

sub
C6

~~calculated mass MH₂²⁺: 1436.2 g/mol
found mass MH₂²⁺: 1436.4 g/mol~~

10

15

20

Direct electronic detection of an antibody/antigen recognition on the addressable recognition system.

25

30

35

The figure shows the impedance signal (without further wiring; spectrometer Solarton Instruments 1260 interface; Solarton SI 1287) of the thio-reduced antibody, which was bonded directly overnight to a freshly cleaned electrode of the type described, before and after an antibody-antigen complexation of the

5 immobilized antibody under the buffer conditions 1/15 mol/l Na_2HPO_4 , KH_2PO_4 , pH 7.4 and room temperature.

It was possible to check the recognition result in the selected case by means of fluorescent labels, as the commercially obtainable antigen (a human IgG-F(ab')₂

10 fraction of Rockland Immunochemicals) is fluorescein-labelled (see Fig. 10).

002090" T 5060560